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Pinnacle Fund Services
Privacy Notice – Pinnacle Fund Services Website
August 2024

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Privacy Policy

Pinnacle Fund Services is comprised of various entities across multiple jurisdictions (collectively, "Pinnacle", "we" or "us"). This Privacy Policy applies to Pinnacle's collection, processing, disclosure, retention and disposition of personal data required to support our fund administration services.

Personal Data

In the context of the services provided by Pinnacle, personal data refers to any information that relates to an identified or identifiable individual such as a name, email, postal address, phone number, an identification number or any information related to the individual's financial, geographical or physical identity.

Subject to relevant personal data protection laws in the regions where you reside and Pinnacle operates, we will process your personal data when a third party (generally Fund Managers) engages us to provide fund administration services and you hold an interest or have a relationship with that third party.

Collection of Personal Data

We are legally required to collect personal data from you for compliance purposes. We collect personal data to satisfy Anti-Money Laundering ("AML") obligations which includes data relevant to international sanctions and restrictive measures, and data about relevant and significant litigation. In specific circumstances we require a copy of your passport or driver's license to verify your identity. Therefore, we collect personal data contained in these documents, including your picture. We also collect personal data from publicly available sources and sanctions, and politically exposed persons screening application support services.

Personal Data Collected from You About Other Individuals

Where you provide Pinnacle with personal data of individuals connected with you (e.g., directors, trustees, employees, representatives, shareholders, limited partners, investors, clients, beneficial owners, agents), you must ensure that you are entitled to disclose that personal data to us and furthermore that, without us being required to take further steps, we can collect, use, store, and disclose that data in the manner described in this Privacy Policy. More specifically, you must ensure that the individual whose personal data you are sharing with us is aware of the matters discussed in this Privacy Policy, as these are relevant to that individual, including our identity, how to get in touch with us, the purposes for which we collect data, our disclosure practices, and the rights of the individual in relation to our holding of their data.

Cookies

Our website uses cookies to collect and store certain information. A cookie is a small removable data file that is stored by your web browser on your computer. Our site uses cookies to recognize you when you return to our website and analyze visitor behavior. This helps us to provide you with a good experience when you browse our site and allows us to improve our site. These cookies are set to expire after a certain time. You can set your browser to not accept cookies. If you don't wish to have cookies placed on your device for the purpose of tracking your activity, our cookie manager provides you with choices as to what cookies you may opt out of.

Use and Processing of Personal Data

Pinnacle requires your personal data to administer your or your organization's relationship with us including fund accounting, investor services, auditing, tax, compliance, and regulatory services.

Pinnacle processes your personal data under the following lawful purposes:

- (i) Where it is necessary for the performance of a contract which you have entered for the purpose of investment subscription into an investment fund.
- (ii) Where it is necessary for compliance with a legal obligation to which we are subject, such as the anti-money laundering obligation to verify the identity of investors in an investment fund and, where applicable, their beneficial owners.

Information we collect about you from your use of our site:

- (iii) To improve our site to ensure that content is presented in the most effective manner for you and for your computer.
- (iv) To administer our site and for internal operations, including troubleshooting, data analysis and statistical purposes.

Disclosure of Personal Data

We will never sell your data and will only share your personal data with Fund Managers for the purpose of managing your investment fund, and with auditors for the purpose of auditing the fund.

In certain circumstances we and/or our authorized affiliates and/or delegates are legally obliged to disclose your personal data and other financial information with respect to your interest in a relevant third party such as an investment fund, to statutory regulatory bodies, and they, in turn, will share this information with foreign authorities including tax authorities. Some of these authorities are located outside of your local jurisdiction.

Storage and Transfer of Personal Data

The personal data that Pinnacle stores is located on the cloud computing platform Microsoft Azure. The data is stored at rest in Canada.

Additionally, Pinnacle and/or its authorized affiliates and/or delegates will in certain cases transfer your Personal Data to other entities or abroad if required to do so for the purposes of processing your personal data. If a third-party service provider is required to process your personal data on our behalf, we will make sure that they do so in accordance to our instructions only.

Security of Personal Data

We are committed to the principles of respecting your privacy and keeping your personal data safe. Pinnacle has implemented generally accepted standards of technology and operational security to protect personal data from loss, misuse, alteration or destruction.

We continually evaluate our IT security and monitor potential organizational and cyber-risks to ensure the privacy and security of your data.

We specifically ensure that third-party providers that reside in countries not deemed adequate by the EU Data Protection Supervisory Authorities have the appropriate data transfer mechanisms in place to be able to transfer data internationally.

Retention and Disposal of Personal Data

The Personal Data you submit will only be retained for as long as is required to fulfil its purpose, and any further regulatory or legal retention requirements for which it was collected or as required by the relevant laws. When the data is no longer necessary to the purpose for which it was collected, Pinnacle will proceed to erase all your Personal Data held in its systems without undue delay.

Rights Regarding Your Personal Data

In accordance with our legal obligations, you have the right to request access to the personal data that we hold about you and can inform us of and request to update any changes in your personal data. Subject to any legal requirements or exceptions, we will facilitate any request for deletion of your personal data.

You also have the right to request restriction of, or object to the processing of your personal data. On each case where you exercise the rights you have regarding your personal data, we will inform you of the consequences of your request and if there are any legal limitations that prevent us from fulfilling your requests based on regulatory or contractual requirements.

We will inform you, prior to collecting personal data from you, what personal data we require and for what purpose we are collecting it.

If at any point in time we learn of a breach that compromises your personal data, we will notify you without undue delay. We will advise you on what protective steps to take, what to expect, and what, if any, are the risks that you may face. We will notify you through the email address that you have provided to us.

In order to exercise any request over your personal data or if you have any questions about the use of your personal data, please contact dpo@pinnaclefundservices.com. We will be happy to deal with any reasonable request at no cost.

UK General Data Protection Regulation (UK GDPR) – UK Representative

Pinnacle has appointed EDPO UK Ltd as our UK Representative pursuant to the UK GDPR. UK individuals may reach out to EDPO UK to exercise their rights or request information about the processing that Pinnacle performs on their data at the following contact information:

- by using EDPO UK's online request form: <https://edpo.com/uk-gdpr-data-request/>
- by writing to EDPO UK at 8 Northumberland Avenue, London WC2N 5BY, United Kingdom

Right to Lodge a Privacy Complaint

UK and EU Individuals

If you reside in the UK or the EU and want to lodge a complaint with a Supervisory Authority (Data Protection Authority) you may do so in the UK or the Member State where you reside, where you work or where you may have experienced an issue with the processing of your personal data.

Cayman Islands Investment Funds

If you are an investor in an Investment Fund domiciled in the Cayman Islands, you have the right to complain to the Ombudsman about any perceived violation of the Cayman Islands Data Privacy Law ("DPL").

Contacting Pinnacle's Data Protection Officer ("DPO")

If you have further questions or concerns about how Pinnacle manages your personal data or if you have feedback regarding this Privacy Policy, you may contact our Data Protection Officer (DPO) as follows:

Pinnacle Fund Services

Attention: Data Protection Officer

Suite 800, Office 08-108, 333 Seymour Street
Vancouver, BC V6B 5A6

dpo@pinnaclefundservices.com

+ 1 604 559 8920